



A Financial Advisor's Report Card

September 2023

“To avoid criticism – do nothing, say nothing, be nothing.” – Elbert Hubbard

It's that time of year again, time to ask for your candid input. Although the markets seem to be performing well so far, and definitely better than 2022 (again, at least so far), we never know what is around the corner. And, as financial planners first and foremost, we consider our job to not only get ready for good times and bad, in good times and bad, but also to advise you on any aspect of your life that has to do with finances. Further, again regardless of the economy and the markets, we always seek to remain objective and comprehensive advisors - offering advice, management, and service that is hopefully exceptional and free of emotion.

Accordingly, we put ourselves to this annual test: we want to know if *you* feel that we are advising and servicing you in the best way possible. And though we pride ourselves on knowing you, our clients, very well, we'd be remiss if we did not solicit this input periodically. Further, in times like these, when the markets seem to be full of fear and the economy on the cusp of recession, we need to take your pulse to make sure we are within your risk tolerance level.

Please remember these report cards are not immediately actionable; we do not change anything simply because someone indicates that they feel like they are over- or under-weight in stocks or bonds. Instead, we use this input to begin a dialogue to see if any allocation or financial planning changes actually need to be made.

This report card is also just another way that we stay in consistent contact with you; our phone calls and solicitation for your input are a crucial part of our comprehensive advice – the more we know about you, the better our advice. And last but not least, we want to make sure we are providing a service, in good times or bad, that you have no hesitation recommending to others.

So, please fill out the report card on the flip side of this page and return it in the self-addressed stamped envelope, or feel free to scan/email it to us at TheFinanceCouple@lpl.com. And, as always, thank you very much for your friendship, your trust, and your referrals – and thank you for your input!

Tim & Wynne Curran

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Name(s): _____ Date _____

E-Mail Address: _____

1. Please evaluate our overall service.

Excellent / Good / Neutral / Poor / Very Poor

2. Please evaluate the overall financial advice rendered.

Excellent / Good / Neutral / Poor / Very Poor

3. Do you feel that you are overweight or underweight stocks?

Underweight / Neutral / Overweight

4. Do you feel that you are overweight or underweight bonds?

Underweight / Neutral / Overweight

5. I would like to take more risk to potentially capture a higher portfolio return.

Agree / Neutral / Disagree

6. I am comfortable with the amount of volatility in the portfolio over the past twelve months.

Agree / Neutral / Disagree

7. I am comfortable that all of my financial concerns have been addressed, including long term care.

Agree / Neutral / Disagree

8. I receive a sufficient level of contact during the year (in the form of personal calls and emails).

Agree / Neutral / Disagree

9. I feel confident that if I call with a question, it will be answered in a timely fashion.

Agree / Neutral / Disagree

10. What do you like best about the firm?

11. What do you think we could improve upon?

12. What specific issues would you like to work on during the next twelve months?

Please offer any additional comments below (if needed, please add an extra sheet of paper).

If you are inclined to refer someone, please note their name, number and/or e-mail below.

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